

10-12-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JCL4USPTO  
10/10/01

Applicant: Sano et al.

Docket: 10873.822US01

Title: OPTICAL HEAD APPARATUS, OPTICAL INFORMATION RECORDING AND REPRODUCING APPARATUS, METHOD FOR DETECTING ABERRATION AND METHOD FOR ADJUSTING OPTICAL HEAD APPARATUS

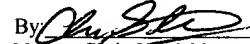
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J1046 U.S. PTO  
09/975396  
10/10/01

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL920771626US

Date of Deposit: October 10, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By   
Name: Chris Stordahl

## BOX PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- Utility Patent Application: Spec. 36 pgs; 17 claims; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- 29 sheets of formal drawings
- Certified copy of a Japanese application, Serial No. 2000-308753, filed October 10, 2000, the right of priority of which is claimed under 35 U.S.C. 119
- A signed Combined Declaration and Power of Attorney
- Assignment of the invention to Matsushita Electric Industrial Co., Ltd., Recordation Form Cover Sheet
- A check in the amount of \$1160.00 to cover the Filing Fee
- A check for \$40.00 to cover the Assignment Recording Fee.
- Other: Communication re: submission of priority claim, Communication re: published application
- Return postcard

## CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
<b>Basic Filing Fee</b>				\$740.00
<b>Total Claims</b>				
17	- 20	= 0	x 18.00	\$0.00
<b>Independent Claims</b>				
8	- 3	= 5	x 84.00	\$420.00
<b>MULTIPLE DEPENDENT CLAIM FEE</b>				\$0.00
<b>TOTAL FILING FEE</b>				\$1160.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

By:   
Name: Douglas P. Mueller  
Reg. No.: 30,300  
Initials: DPMueller/jlc

MERCHANT &amp; GOULD P.C.

P.O. Box 2903, Minneapolis, MN 55402-0903  
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(PTO TRANSMITTAL - NEW FILING)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

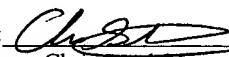
Applicant: Sano et al. Serial No.: unknown  
Filed: concurrent herewith Docket No.: 10873.822US01  
Title: OPTICAL HEAD APPARATUS, OPTICAL INFORMATION RECORDING AND REPRODUCING APPARATUS, METHOD FOR DETECTING ABERRATION AND METHOD FOR ADJUSTING OPTICAL HEAD APPARATUS

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By:   
Name: Chris Stordahl

COMMUNICATION

Assistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

In connection with the above-identified application, applicant respectfully requests that Figure 1 be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Douglas P. Mueller (Reg. No. 30,300), at (612) 571.5237.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: October 8, 2001

By   
Douglas P. Mueller  
Reg. No. 30,300

DPM/jlc